Miniaturized Microwave Passive Filter Incorporating Multilayer Synthetic Quasi-TEM Transmission Line

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Abstract—This paper presents a novel approach to miniaturizing a transmission-line (TL)-based bandpass filter (BPF). The BPF is miniaturized by incorporating multilayer stacked synthetic quasi-TEM TLs made of complementary conducting strip (CCS) metal surfaces. The stacked CCS TLs are separated by a meshed ground plane, whose coupling effect was experimentally shown negligibly to affect desired circuit performance. A brief description of the symmetric third-order TL filter synthesis procedure is reported to map such an idealized BPF to the prototype made of the stacked synthetic CCS TLs. Excellent agreement is obtained by comparing the experimental and theoretical filter frequency responses showing a 2.46-dB insertion loss, a -16.8 -dB return loss with under 5% offset of low-side out-band transmission zeros, and a 2% offset of center frequency. A quick estimate of the proposed miniaturized filter design based on synthetic quasi-TEM lines shows that the particular filter prototype approaches the process limit.

Index Terms—Bandpass filter (BPF), multilayer, multistandard, RF system-on-chip (SOC), synthetic transmission line (TL), system-in-package (SIP), wireless local area network (WLAN).

I. INTRODUCTION

PORTABLE wireless devices have evolved into the convergence realm, integrating multifrequency and multistandard protocols, greatly enhancing the handheld devices' capabilities in managing voice and multimedia data. Smart phones, combining wireless local area network (WLAN) and cellular phones, are one example of this trend, which is driven by continuing technological development in the system-on-chip (SOC) and system-in-package (SIP). Although as many as possible wireless building blocks have been integrated into the SOC, antenna, filter, baluns, and other devices are mostly in discrete forms or embedded into the SIP. A complete solution for making a wireless device with a very small form factor is becoming a reality by combining advanced techniques of the SOC and SIP. Thus, this paper focuses on the miniaturization of the filter to the highest degree of integration density. What follows is the survey of filters, which include the state-of-the-art discrete fil-

ters reported recently in the market,^{1,2,3,4,5,6,7,8,9,10,11} technical literature [1]–[23], and the filters in the advanced SIP [24]–[30].

Without loss of generality, Fig. 1 plots the thickness against volume for 2.4-GHz industrial–scientific–medical (ISM) bandpass filters (BPFs), allowing the assessment of the incorporation of filters in a specific user-defined environment to determine whether the filter with the small form factor is too thick or too thin to fit in the final integrated product. The square and triangular symbols represent filter with three and two reflection zeros in the passband, respectively. Closely examining the statistics shown in Fig. 1 supports the following summary. First, the volumes required to realize a BPF with three reflection zeros ($N_{RZ} = 3$) are about one-half of those of a BPF with two reflection zeros. Second, these commercially available low-temperature co-fired ceramic (LTCC)-based filters contain approximately ten layers or more and, thus, are approximately 0.8-mm thick in most designs. Third, transmission-line (TL)-based microwave filters [5]–[8] are normally large with volumes over 14 mm³; independent of the number of reflection zeros in the passband.

Fig. 1 also presents the sizes of the filters designed by the proposed integration method with various numbers of dielectric layers for two and three reflection zeros, respectively.

The BPF presented herein contains only TL elements to illustrate the core technique of incorporating synthetic quasi-TEM lines in the multilayer substrate environment. Synthetic quasi-TEM lines were recently reported for a miniaturized four-port rat-race device in printed circuit board (PCB) and a CMOS oscillator with a size comparable to those achieved

Manuscript received January 20, 2005. This work was supported in part by the Ministry of Education of Taiwan under Grant NSC 93-2752-E-002-009-PAE and in part by Epic Communication Inc. under Grant 92C202.

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Digital Object Identifier 10.1109/TMTT.2005.854193

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Fig. 1. Survey of 2.4-GHz ISM-band BPFs in size (volume) and thickness.

by the parallel inductor-capacitor approach [31]. This paper proposes a new approach to systematically reducing the size of filter based on multilayer substrate technology. The application of the synthetic quasi-TEM lines results in the filter designs of almost nearly the same volume as the number of substrates is increased. A designer can, therefore, optimize the area and thickness required for product integration. Section II will describe how to realize synthetic quasi-TEM lines in a multilayered substrate configuration. Followed by the measured validation that enough isolation can be achieved for various devices integrated in different layers, Section III reports the procedure for designing a TL filter to demonstrate the feasibility of incorporating the multilayer synthetic quasi-TEM line for making multilayered miniaturized filters. Section IV presents theoretical and measured results, indicating that the current approach meets the state-of-the-art size requirements for practical implementation in multistandard wireless product designs. Section V reports a quick estimate that the proposed integration method adopted for filter miniaturization approaches the process limit. Section VI concludes this paper.

II. MULTILAYER SYNTHETIC QUASI-TEM TL

Recently, a new artificially engineered synthetic TL—the so-called complementary conducting strip transmission line (CCS TL)—was reported to be an effective means of miniaturizing microwave circuits [31]. The CCS TL has the following characteristics. It firstly provides wide design choices for making characteristic impedance of the TL without changing the process parameters and material constants. Second, the meandered CCS TL exhibits less bending and adjacent coupling effects, as indicated by the slower change in characteristic impedance against the width variation in the TL than the conventional meandered microstrip used in the same fashion [31, Fig. 5]. Therefore, a compact microwave circuit can be established using the meandered CCS TL, finally achieving miniaturization.

The CCS TL is made from a unit cell, which has dimensions that are much smaller than the operating wavelength. As shown in Fig. 2, a unit cell contains a mesh ground plane and a central patch with at least two series arms for cells in series [see Fig. 2(a)] and bent [see Fig. 2(b)] connection to the adjacent

Fig. 2. Unit cells of CCS TL. (a) For series connection. (b) For bent connection.

cells. The etched portion of the meshed ground plane complements to the central patch of the signal layer, forming a CCS TL. Additionally, Fig. 3 shows a new multilayer meandered CCS TL configuration made of the meandered CCS TL realized by two metal layers [see Fig. 3(a)], whose guiding characteristics have been well documented [31], and the sandwiched CCS TL is realized by two meshed ground planes on the top and bottom surfaces [see Fig. 3(b)]. All the meshed ground planes are connected by plated through-vias. The procedure for designing a sandwiched CCS TL, however, is similar to the meandered CCS TL reported in [31], and will not be repeated here.

Notably, a four-layer substrate configuration was adopted throughout this paper. In such a configuration, Fig. 3(a) and (b) shares a common meshed ground plane M2. Based on this integration scheme, the CCS TLs in different layers can be independently controlled for various circuit designs. However, attention must be paid to the isolation of the stacked CCS TLs in different layers. The perfect solid ground plane provides the highest shielding capability of any mesh ground plane. An investigation on the shielding capability of two isolated circuits using meandered CCS TLs in different layers is followed.

Two filters with independent functions are designed using CCS TLs and integrated in the same four-layer substrate configuration. The first is the low-pass filter (LPF), which occupies M1 and M2 layers [see Fig. 3(a)]. The second is the BPF, which utilizes the M2–M4 layers [see Fig. 3(b)]. These symmetrical filters are designed following the similar procedure, which is

Fig. 3. Synthetic CCS TL. (a) Meandered CCS TL. (b) Sandwiched meandered CCS TL.

reported in Section III. In Fig. 3, every substrate has an equal thickness of 0.06 mm (SUB1–SUB3). The area of overlapping of the two filters is approximately 95% of the total area. Fig. 4(a) and (b) shows the intrinsic frequency responses, including experimental and theoretical results, for two standalone filters.

In the experiments, one filter is measured using the two-port vector network analyzer (VNA) and the other is terminated by two 50- Ω chip resistors. The full-wave simulations using Zeland IE3D follow the same procedure. The cutoff frequency of the LPF is 2.75 GHz, and the out-of-band rejection is below 30 dB from 4.25 to 4.7 GHz. The insertion loss is approximately 0.92 dB, a little higher than the simulated value of 0.45 dB. The return loss is below -10 dB from 2.38 to 2.51 GHz. On the other hand, in Fig. 4(b), the center frequency of the BPF is 2.51 GHz, and the return loss is below -11.5 dB from 2.11 to 2.91 GHz. The measured insertion loss is approximately 1.48 dB, which is 0.39 dB higher than the simulated value. Good agreement between the measurements and simulations for the two filters show that the structural parameters and material constants are very close to the design values. Additionally, the transmission between port 3 and either ports 1 or 2 is measured to evaluate the cross coupling between the LPF and BPF. Fig. 4(c) shows the measured transmission coefficient across two filters. Based on the measured results presented in Fig. 4(a) and (b), the BPF passes the energy above 2.11 GHz with low reflection and the LPF rejects signals above 2.75 GHz. The electromagnetic (EM) energy can be distributed in the four-layer configuration from 2.11 to 2.75 GHz. Fig. 4(c) plots the measurements for the adjacent-port coupling ($|S_{32}|$) and cross-port coupling ($|S_{31}|$). The filter is symmetrical so only port 3 is applied when port 4 is terminated. Although Fig. 4(c) reveals the relatively high EM en-

Fig. 4. Multifunction module incorporating four-layer meandered CCS TLs. (a) Frequency responses of 2.4-GHz LPF in M1 and M2 metal layers. (b) Frequency responses of 2.4-GHz BPF in M2–M4 metal-layers. (c) Measured transmission coefficient between LPF and BPF in four-layer CCS TL's configuration.

ergy transmission between the two filters in different layers from 2.11 to 2.75 GHz, to the adjacent coupling is maintained below -23 dB and the cross-coupling is below -29 dB. Therefore, Fig. 4(c) verifies that passive circuits realized by the stacked CCS TLs in different layers can be well isolated from each other. In the case study, an isolation of more than 23 dB is achieved.

Fig. 5. Parallel resonators. (a) Lumped realization. (b) TL realization.

III. EXPERIMENTAL FILTER DESIGN PROCEDURE

Recently, Quendo *et al.* reported that a TL BPF, incorporating the so-called dual-behavior resonator (DBR), could achieve an N th-order BPF with $2N$ pre-selective transmission zeros using $2N$ open and/or short stubs [32]–[34]. One DBR, which contains two open/short stubs of different lengths and characteristic impedances, independently controls two transmission zeros. The designs of such filters have been well documented [32]–[34]. This paper presents the similar filter architecture to demonstrate the filter miniaturization, incorporating meandered stacked CCS TLs. However, a larger N th-order TL BPF with only $2(N - 1)$ pre-selective transmission zeros using $2N$ open stubs by adding an additional pair of shunt stubs and a TL connected in series is designed to achieve a symmetrical filter with a direct interface to external 50- Ω loads.

The TL BPF design begins with the design of parallel resonators. Fig. 5 shows the equivalent circuit of the parallel resonator in both lumped [see Fig. 5(a)] and TL [see Fig. 5(b)] forms. Z_C and Z_L represent the characteristic impedances of the two TLs. F_C and F_L are the quarter-wavelength frequencies of the TLs.

Assuming that the TLs are lossless, the input susceptance of the resonator is given by the following equation:

$$B_{res} = j[Y_C \tan(\theta_C) + Y_L \tan(\theta_L)]. \quad (1)$$

Variables θ_C and θ_L are the electric lengths of the two TLs at the center frequency (f_0) of the BPF. Y_C and Y_L represent the inverse of the characteristic impedances of the two TLs. If F_L is defined below f_0 , then F_C must be above f_0 . The susceptances of the open stub that is one quarter-wavelength frequency below (above) f_0 is negative (positive) near f_0 , as shown in Fig. 6. The sum of the two curves indicates a parallel resonance at f_0 . Therefore, Z_C , Z_L , F_C , and F_L can be chosen to make the input susceptances of the parallel resonator zero at the f_0 of the BPF.

Next, the conventional LPF synthesis procedure is invoked for the TL BPF design for an N th-order LPF prototype with a specified passband ripple, as shown in Fig. 7(a) [35]. Fig. 7(b) transforms the series inductor (g_2) into a T-network of two J -inverters and one shunt capacitor after some algebraic manipulation and conversion [36]. The passband bandwidth and reference

Fig. 6. Input susceptance of the parallel resonator using TL realization.

Fig. 7. Brief description of the TL BPF design. (a) Low-pass prototype. (b) Conversion of series inductors to shunt capacitors. (c) Low-pass to bandpass transformation. (d) TLs approximations of parallel resonators and J inverters.

impedance at the input and output ports of the filter are specified during the filter design. The low-pass-to-bandpass transformation of Fig. 7(b) then leads directly to Fig. 7(c), consisting of the N shunt L – C resonators with $N - 1$ series J inverters [36]. Finally, the TL elements are applied to realize the parallel resonator and J inverters, forming a TL BPF [35]. Notably, Z_{Ci} , Z_{Li} , F_{Ci} , and F_{Li} , shown in Fig. 7(d), are the same as those defined in Fig. 5(b).

Following the above-mentioned procedure, Fig. 8 presents a practical example of a third-order BPF with detailed design parameters. The circuit is also simulated using the ideal TL model, neglecting junction effects. Fig. 9 plots the corresponding frequency responses based on 50- Ω reference impedance. Four transmission zeros are set at 1.78, 1.88, 3.19, and 3.95 GHz, forming a passband with a center frequency (f_0) at 2.5 GHz.

$$\begin{aligned} Z_1 &= 41.03\Omega, \theta_1 = 90^\circ @ 3.95 \text{ GHz} & Z_2 &= 60.88\Omega, \theta_2 = 90^\circ @ 1.88 \text{ GHz} \\ Z_3 &= 48.67\Omega, \theta_3 = 64.428^\circ @ 2.5 \text{ GHz} & Z_4 &= 56.85\Omega, \theta_4 = 90^\circ @ 3.19 \text{ GHz} \\ Z_5 &= 40.34\Omega, \theta_5 = 90^\circ @ 1.78 \text{ GHz} \end{aligned}$$

Fig. 8. Equivalent circuit of a 2.5-GHz idealized TL BPF.

Fig. 9. Frequency responses of idealized TL BPF neglecting junction effects.

Therefore, the out-band rejection can be below 35 dB from 3.1 to 4.15 GHz. The return loss is below -19.429 dB from 2.329 to 2.671 GHz, achieving a passband with an equal ripple of 0.05 dB. Notably, the BPF shown in Fig. 8 is symmetrical and directly matches the 50- Ω system without further impedance transformation.

IV. MINIATURIZED TL BPF: LAYOUT AND MEASUREMENTS

The practical implementation of a miniaturized BPF by mapping the idealized BPF shown in Fig. 8 to the four-layer stacked CCS TL filter configuration is presented here. Fig. 10 shows the three-dimensional view of the miniaturized TL BPF, incorporating a multilayer CCS TL. The CCS TL is realized by a unit cell with a period of 0.35 mm ($P = 0.35$ mm) and is realized in a four-layer print circuit board (PCB). The permittivity and thickness of each substrate are 4.7 and 0.06 mm with a loss tangent of 0.013. All metal layers are copper with a thickness of 0.0175 mm. The guiding characteristics of CCS TLs, including the propagation constants and characteristic impedances, are extracted from the theoretical S -parameters, which are calculated by the full-wave EM simulator [31]. The extracted data are applied to define the width and meandered shapes of the CCS TLs in different layers. As shown in Fig. 10, five TLs, including two series TLs with an electrical length of 64.428° at 2.5 GHz, two

Fig. 10. Three-dimensional view of a 2.5-GHz TL BPF.

90° shunt stubs at 1.88 GHz, and one 90° shunt stub at 3.19 GHz are in the M1 and M2 metal layers. Additionally, two 90° TLs at 3.95 GHz and one 90° TL at 1.78 GHz are realized using sandwiched CCS TLs in M2–M4 metal layers. The minimum and maximum linewidths are 0.11 and 0.18 mm, respectively. The reference ground planes (M2 and M4) of the four-layer configuration are connected by plated holes filled with copper for proper grounding. Two external terminals of the BPF are located on the M1 layer, facilitating the interface to the probe tips.

The device-under-test (DUT) is very thin and small so measurements cannot be easily made using coaxial connectors or cables. Therefore, two 50- Ω ground–signal–ground (G–S–G) coplanar-waveguide (CPW)-based microwave probes from Picoprobe, Naples, FL, are applied to make the measurements. The chuck, which is a metal plate for supporting the DUT, is grounded to the instruments. Therefore, a piece of paper with a thickness of 0.05 mm is inserted between the DUT and the chuck for proper isolation.

Before the measurements are made, the whole system, including an Agilent 8510C VNA, cables, and probes is calibrated by performing two-port short-open-load-through (SOLT) procedure with CS-11 standard substrates from Picoprobe. Fig. 11 compares the measured and theoretical results. The theoretical data include the effects of the junctions, grounding vias, plated through-holes, finite conductivity, and dielectric losses.

The measured data shows four transmission zeros at 1.85, 1.98, 3.19, and 3.95 GHz. Notably, the low-side transmission zeros are shifted by approximately 5% (70 MHz) from the frequency responses shown in Fig. 9. The center frequency of the BPF is slightly shifted from 2.5 to 2.55 GHz by approximately 2%. However, Fig. 11 shows that the out-band rejection is highly consistent with the theoretical values predicted by simulation, remaining below 35 dB from 3.1 to 4.15 GHz. On the other hand, the measured return loss is below -16.8 dB from 2.38 to 2.78 GHz in the passband, and exceeds the simulated value by 3.05 dB. Notably, the three reflection zeros are presented

Fig. 11. Measured results of miniaturized TL BPF.

Fig. 12. 2.5-GHz miniaturized BPF on one Euro (€1).

at approximately 2.42, 2.58, and 2.74 GHz, offset by only 1% against the idealized frequency responses shown in Fig. 9. The measured insertion loss is approximately 2.46 dB from 2.38 to 2.78 GHz—0.4 dB higher than the simulated value. Fig. 12 shows the photograph of the prototype, whose dimensions are $5.0 \times 5.0 \times 0.18$ mm.

V. DISCUSSION

The miniaturized filter is implemented solely using the stacked CCS TLs so the total volume of the filter can be expressed by the following equation:

$$V_{\text{total}} = L_t \cdot P \cdot \left(2 - \frac{1}{N}\right) \cdot h. \quad (2)$$

L_t is the total length of the all of the TLs in the filter design. P is the period of the unit cell of the CCS TL. N is the number of signal layers in the multilayer system configuration and h is the thickness of single-layer substrate. Therefore, the number of substrates is $2N - 1$.

TABLE I
VARIABLES FOR VOLUME ESTIMATION OF PROPOSED BPF

Variable	Value
f_0	2.5 GHz
N_z	4 (1.78 GHz, 1.88 GHz, 3.19 GHz, 3.95 GHz)
N	2
h	0.06 mm
ϵ_r	4.7
P	0.35 mm
L_t	115.1 mm
V_{estimate}	3.63 mm ³
$V_{\text{prototype}}$	4.5 mm ³

N_z is the number of transmission zeros with a minimum value of two. In the first-order approximation, L_t is inversely proportional to the square root of the relative dielectric constant ($\sqrt{\epsilon_r}$). With reference to Fig. 8, the total volume of the three-order 2.5-GHz BPF (with four transmission zeros) can be estimated using (2). Table I lists the relevant design parameters, showing good agreement between hand calculations and prototype dimensions. Notably, the parameters including P , h , ϵ_r , and N are process related and all in typical values for the current PCB technology. On the other hand, L_t and N_z are related to electric specifications of the proposed miniaturization that incorporates the meandered CCS TL to systematically reduce the volume of BPF, which approaches the limits of state-of-art technology. The estimated V_{total} is 19% less than that of the prototype so the approach based on the proposed stacked meandered CCS TL can effectively miniaturize microwave passive circuits such as the BPF presented here. With reference to Fig. 1, the size of the proposed prototype also approaches that of state-of-art technology—approximately 4.5 mm³. In the circumstance, when a designer requires that the area is smaller than that achieved using the presented four-layer prototype, N may be increased from two to four. By doing so, the area will be changed from 5.0 mm $\times$ 5.0 mm to 1.83 mm $\times$ 1.83 mm. The thickness will be increased from 0.18 to 1.26 mm, and the volume will be changed from 4.5 to 4.23 mm³. Equation (2) also clearly shows that when P and h are reduced, the total volume is scaled down to an extent proportional to the product of P and h . Also, P stands for the periodicity of the unit cell and is the limit on the line pitch, which is the center-to-center distance between the parallel lines associated with particular processes.

VI. CONCLUSION

This paper has proposed a novel approach for designing a synthetic quasi-TEM TL stacked in a multilayer substrate configuration. The synthetic TLs are formed by alternating the so-called CCS quasi-TEM lines in different signal layers separated by the meshed ground planes, whose isolation property has been experimentally verified to a satisfactory level of negligible effects on desired circuit performances. The multilayer synthetic TL has been successfully applied to the design of a 2.4-GHz ISM-band BPF prototype of a size approaching that of the state-of-the-art device. The proposed guiding structures can be extended to the design of a SIP and RF SOC, when miniaturization becomes critical.

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