Synthesis, properties, and electrogenerated chemiluminescence (ECL) of a novel carbazole-based chromophore

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Received 3 August 2004; revised 22 November 2004; accepted 25 November 2004

Available online 18 December 2004

Abstract — A novel molecule containing electron-rich carbazole and electron-deficient pyrimidine moieties exhibits useful and intriguing physical properties, including promising reversible redox behavior that gives rise to electrogenerated chemiluminescence (ECL).

Electrogenerated chemiluminescence (ECL) has widely been applied as a sensitive and selective detecting technique for many analytical applications; it is also an excellent model for investigating the mechanism of electron transfer. ECL is a process that involves photon generation by homogeneous electron transfer (ET) between electrochemically generated radical cations and radical anions that form in close proximity to the electrode surface in an ECL cell. Upon sweeping sequentially between negative and positive potentials over a short-time interval, the excited states of the electroactive species can be made to populate emitting states that subsequently relax to ground states with the emission of light of appropriate wavelengths. Both singlet and triplet states of the electroactive species can be formed depending on the annihilation enthalpy change ($\Delta H_{\text{ann}}$) of the electron transfer reaction. The ion annihilation process can generate a singlet state (S-route) if the magnitude of $\Delta H_{\text{ann}}$ is larger than the energy needed to reach the excited singlet state ($E_s$). On the other hand, if the value of $\Delta H_{\text{ann}}$ is lower than that of $E_s$, but it is sufficient to generate the triplet state, then the electron transfer reaction will lead to the formation of that triplet state. It is still possible, however, to form a singlet state by triplet–triplet annihilation, which is a process known as the T-route. As a consequence, fluorescence is the normal emission from the electrochemically generated excited state of an electroactive species. For practical purposes, molecular systems that give rise to significant ECL are single molecules that contain one or more chromophores that exhibit reversible redox behavior. If only one of the oxidation or reduction processes is reversible, ECL has to be generated by a co-reactant process. In this regard, organic molecules having structural character capable of generating ECL have been explored relatively infrequently when compared to inorganic Ru(bpy)$_3^{2+}$ and related complexes.

Molecules incorporating carbazole moieties have been investigated extensively as light-emitting materials and as the hole-transporting materials in organic light-emitting devices (OLED) because of their high reversibility upon electrochemical oxidation. Previously, we established a feasible synthesis, using Suzuki coupling reaction, of molecules containing pyrimidine moieties. These pyrimidine-containing materials undergo reversible reduction processes, which suggests that they hold promise for use in light-emitting and electron transport materials. We anticipated that the preparation of a molecule that combines the individual characteristics of carbazole (i.e., electron richness) and pyrimidine (i.e., high electronegativity) moieties would afford a new kind of material. In this letter we report the synthesis and properties, including ECL behavior, of such a novel molecule.

Scheme 1 depicts the synthetic pathways we took toward the target molecule 4. The 5,8-dibromo-1-phenylcarbazole was converted to diboronic ester 2 in an isolated yield of 72% by treating it with $n$-BuLi at $-78 \, ^\circ\text{C}$ and then quenching the dilitiated intermediate with 2-isoproxy-4,4,5,5-tetramethyl-[1,3,2]-dioxaborolane.
The 2-(4-tert-butylphenyl)-5-bromopyrimidine 3 was synthesized according to our Suzuki coupling approach. The reaction of diboronic ester 2 and bromo compound 3 in the presence of a catalytic amount of Pd(PPh₃)₄ furnished the desired compound 4 in 67% yield.

The new compound 4 exhibits high morphological stability and good resistance to thermal decomposition. During DSC analysis, molecule 4 displays a distinct glass transition \( T_g \) at 164 °C, followed by crystallization \( T_c \) at 218 °C and melting \( T_m \) at 337 °C. We attribute the crystallinity of 4 to the rigidity of its peripheral aryl substituents, even though we purposely introduced the sterically bulky, terminal tert-butyl groups to preclude significant intermolecular interactions. The rigidity of the peripheral aryl groups is also beneficial for this molecule’s high thermal stability during TGA analysis: the 10%-weight-loss temperature of 4, while heating (20 °C/min) under nitrogen, is 429 °C.

We studied the electronic absorption and photoluminescence of compound 4 in different solvents (CHCl₃, THF, and CH₃CN) to verify the polarized character of the chromophore. The absorption spectrum of 4 in CHCl₃ is similar to that obtained in CH₃CN: an absorption maximum centered at 308 nm and a shoulder at 343 nm. The absorption of 4 in THF exhibits a similar shoulder, but is associated with a slightly blue-shifted absorption maximum (299 nm). In contrast to the electronic absorption, the emission maxima of 4 are more sensitive to the polarities of the solvents. In CH₃CN, a significant red shift (22 nm) is observed relative to the emission maxima of 4 in CHCl₃ and THF (Fig. 1). We ascribe the bathochromic shift of the emission maximum in CH₃CN to the polar character of 4 in this solvent that arises as a result of intramolecular charge transfer occurring in its excited state. Additionally, compound 4 is highly emissive in the solid state—it has an emission maximum centered at 417 nm—and the quantum yield of its vacuum-deposited thin film, studied by the calibrated integrating sphere system, was determined to be 0.51.

Next, we conducted cyclic voltammetry (CV) experiments on compound 4 at room temperature to probe its electrochemical properties. CV traces of 4 (Fig. 2) were recorded in both THF, using 0.1 M nBu₄NClO₄ as the supporting electrolyte and a glassy carbon electrode as the working electrode, and CH₂Cl₂, using 0.1 M nBu₄NPF₆. It appears that the structural character of 4 has a beneficial effect on the reversibility of the electrochemical processes. In THF, a quasi-reversible reduction potential is evident at a value of \( E_{p,c} \) of -2.29 V (vs Ag/AgCl). It is reasonable to attribute this reduction to the injection of electrons from the electrode to the pyrimidine moieties of 4. The anodic oxidation of 4 in THF displays less-reversible electrochemical behavior with a value of \( E_{p,a} \) of 1.60 V (vs Ag/AgCl). This result indicates possibly that the radical cation of 4 has relatively low stability in THF. To support this hypothesis, we conducted the oxidation CV experiment of 4 in CH₂Cl₂ using 0.1 M nBu₄NPF₆ as the supporting electrolyte.
electrolyte. In this case, we detected a reversible oxidation process \( E_{1/2} = 1.34 \) V (vs Ag/AgCl). We believe that the reversible oxidation originates from the hole injection at the central carbazole unit. The electrochemical data reveal that the electrogenerated radical ions are stable and that efficient ECL can occur as a result of ion annihilation.

The ECL of 4 in THF was measured according to our previously published method.\(^{10}\) Figure 3 presents the ECL spectrum of 4 recorded between \(-2.0 \) V (0.5 s) and +0.8 V (0.5 s) at 2 s intervals.

The photoluminescence spectrum of 4 in THF closely resembles its ECL spectrum, but the maximum intensity in the ECL spectrum is slightly red-shifted (ca. 14 nm) relative to the fluorescence maximum. We believe that this shift arises because of the higher polarity of the THF solution containing the \( n \)Bu4NClO4 electrolyte. The absence of a long-wavelength emission in the ECL spectrum excludes the formation of an excimer (E-route) during the ion annihilation process. The energy available for this ion annihilation reaction is given by the following equation:\(^{11}\)

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|\Delta H_{\text{ann}}| = E_p (4/4^+) - E_p (4/4^-) - 0.16
\]

From the CV data, we calculate the value of \( |\Delta H_{\text{ann}}| \) to be 3.73 eV; this value is larger than the lowest excited singlet energy, \( E_\Sigma = 3.46 \) eV, calculated from the fluorescence emission. This result suggests that it is highly probable for the ion annihilation process to occur via the S-route and that the ECL of 4 is an energy-sufficient system.

In conclusion, we have established an efficient synthesis of a novel carbazole-based molecule (4), in which electron-accepting pyrimidine rings have been introduced as electron-accepting moieties. The structural character of 4 is not only beneficial to the stability of its electrogenerated radical ions, but it also increases the possibility for generating the ECL. The high thermal and morphological stability of 4, together with the high quantum efficiency of its photoluminescence in solid films, makes this new material suitable for further applications in OLEDs. Accordingly, we are currently preparing a deep-blue OLED device using 4 as an emission layer; the results will be reported in due course.

### Acknowledgements

We thank the National Science Council and the Ministry of Education of Taiwan for financial support.

### Supplementary data


### References and notes


9. To a solution of 2-(4-(tert-butylphenyl)-5-bromopyrimidine\(^2\) (3; 611 mg, 2.1 mmol), Pd(PPh\(_3\))\(_4\) (231 mg, 0.2 mmol), and 5,8-bis(4,4,5,5-tetramethyl-1,3,2-dioxaborolan-2-yl)-1-phenylcarbazole (2; 495 mg, 1 mmol) in a mixture of toluene (30 mL) and 2 M Na\(_2\)CO\(_3\) (3 mL) was added PbBu\(_4\) (0.05 M in toluene, 2.0 mL, 0.10 mmol). The solution was refluxed with vigorous stirring for 72 h under a nitrogen atmosphere. The mixture was poured into water and extracted with chloroform. The organic extracts were washed with brine and dried over MgSO\(_4\),...
The solvent was removed by rotary evaporation, and the residue was purified by column chromatography (silica gel, ethyl acetate/hexane = 1/6) to provide 444 mg (67%) of the product.